ARIZONA RACING SYMPOSIUM

RACING OFFICIALS’ ACCREDITATION PROGRAM

“2014 POINTS OF EMPHASIS”
Why Points of Emphasis?

- **3 Reasons**
  - Continuity for Continuing Education Seminars
  - Hot Topics – No Contest Protocols
  - Update on Available Resources
    - InCompass Race Track Office Operating System
    - RCI Licensing & Rulings Database
    - Equibase Charts & Past Performance Information
    - Web-Sites
      - ROAP
      - RMTC
      - NTRA
      - Jockey Club
      - USTA
      - AQHA
“2014 ROAP Points of Emphasis”

- Encourage the use of technology by all stewards.

- Understanding the Multiple Medication Violation Program (MMV).

- Ensure clear and concise communication of stewards’ decisions.

- Encourage consistency and uniformity in enforcement of interference rules.

- Understanding Addictive Behavior Treatment Programs available in your jurisdiction.
“Encourage and Facilitate the Use of Technology by Stewards and Judges”

- Computers & Internet access in Stewards and Judges offices & race review stands
- e-mail accounts & smart phones
- Microsoft Office skills
- Proficiency with RCI Database & InCompass RTO System
- Familiarity with horse and racing related web-sites
“Understanding the National Uniform Medication Program and Multiple Medication Violation Program (MMV)”

• Schedule of 26 controlled therapeutic medications with administrations, thresholds & withdrawal times.

• Intended to further the national adoption of uniform medication rules, drug testing and especially, penalties.

• Point system of recommended automatic suspension timeframes for trainers with multiple medication violations.
<table>
<thead>
<tr>
<th>Class</th>
<th>Points If Controlled Therapeutic Substance</th>
<th>Points If Non-Controlled Substance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class A</td>
<td>N/A</td>
<td>6</td>
</tr>
<tr>
<td>Class B</td>
<td>2</td>
<td>4</td>
</tr>
<tr>
<td>Class C</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Class D</td>
<td>$\frac{1}{2}$</td>
<td>1</td>
</tr>
<tr>
<td>Points</td>
<td>Suspension in days</td>
<td></td>
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<td>---------------</td>
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<td></td>
</tr>
<tr>
<td>3-5.5</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>6-8.5</td>
<td>60</td>
<td></td>
</tr>
<tr>
<td>9-10.5</td>
<td>180</td>
<td></td>
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<tr>
<td>11 or more</td>
<td>360</td>
<td></td>
</tr>
<tr>
<td>Penalty Classification</td>
<td>Time to Expungement</td>
<td></td>
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<tr>
<td>------------------------</td>
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<td></td>
</tr>
<tr>
<td>A</td>
<td>Permanent</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>3 years</td>
<td></td>
</tr>
<tr>
<td>C</td>
<td>2 years</td>
<td></td>
</tr>
<tr>
<td>D</td>
<td>1 year</td>
<td></td>
</tr>
</tbody>
</table>
“COMMUNICATIONS WITH:
THE PUBLIC, LICENSEES AND REGULATORS”

Stewards’ Daily Reports

• Timely
• Internet access
• Detailed
• Scratches, jockey changes, equipment updates, etc.
• Explanations of inquiries and foul claims
• Reasons for disqualifications or no action taken
• Transparency
MEDIA RELATIONS

- RESPONSE TO REQUESTS FOR INFORMATION
  - ALWAYS RESPOND
  - ACCURACY
  - EXPLAIN RULES INVOLVED
  - REASONS FOR LIMITED RESPONSE
    - NOTIFICATION
    - PENDING HEARING
    - APPEAL PROCESS
  - ENCOURAGE FOLLOW-UP
- DEVELOP MEDIA RELATIONSHIPS
  - RACING MEDIA
  - LOCAL PRESS
“ENCOURAGE CONSISTENCY AND UNIFORMITY IN ENFORCEMENT OF INTERFERENCE RULES”

• ARCI MODEL RULE 010-035(E)(3) MAINTAINING A STRAIGHT COURSE

(a) When the way is clear in a race, a horse may be ridden to any part of the course, but if any horse swerves, or is ridden to either side, so as to interfere with, impede or intimidate any other horse, it is a foul.

(b) The offending horse may be disqualified, if in the opinion of the stewards, the foul altered the finish of the race, regardless of whether the foul was accidental, willful or the result of careless riding.
Did the Foul Altered Outcome of the Race?

- Key factor in determining to disqualify.
- More often than not — “A Gray Area”
- Each incident has variable factors to consider.

<table>
<thead>
<tr>
<th>Contact</th>
<th>Intimidation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Altered Course</td>
<td>Checked</td>
</tr>
<tr>
<td>Pulled Up</td>
<td>Clipped Heels</td>
</tr>
<tr>
<td>No Room</td>
<td>No Opening</td>
</tr>
<tr>
<td>Blocked</td>
<td>Herded</td>
</tr>
<tr>
<td>Forced Wide</td>
<td>In Tight</td>
</tr>
<tr>
<td>Accelerating</td>
<td>Decelerating</td>
</tr>
<tr>
<td>Continued To Ride</td>
<td>Race Riding</td>
</tr>
</tbody>
</table>

- “No two incidents are the same.”
“Understanding Addictive Behavior Treatment Programs Available in Your Jurisdiction.”

- Common Problem in all Racing Jurisdictions
  - Alcohol
  - Drugs
  - Anger
  - Gambling
  - Depression
- Whose Problem is it?
  - Horsemen
  - Track Management
  - Commission
  - Stewards
  - Security
  - Chaplain
"Addictive Behavior Problems at the Racetrack is Everyone’s Problem!"

What Should You Do?

- Know the local addictive behavior program resources
- Identify addictive behavior counsellors & treatment programs.
- Determine available sources of funding for treatment.
- Professional evaluation of licensee with treatment recommendations.
- Make completion of treatment a requirement of reinstatement.
Questions & Comments?